CORRELATING HUMAN AND FLEXIBLE DUMMY HEAD-NECK INJURY PERFORMANCE

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ABSTRACT

The Center for Injury Research (CIR) has developed methods to derive and correlate rollover dummy head-neck injury with NASS/CIREN data. In this paper, these methods are applied to other accident modes. Specifically, we investigated the application of the dummy rollover head-neck modifications, as well as structural injury risk, IARV, momentum exchange injury measures and criteria to frontal, offset and small overlap frontal and side impact testing.

Recently, NHTSA has implemented a comprehensive series of component regulations (FMVSS 126, FMVSS 216, FMVSS 226) [1-3] which, in combination, are intended to drastically reduce the number of crashes and occupant injury and fatalities in rollovers and other modes. However, the stiffness of the dummy neck and the disparity between IARV and momentum exchange injury measures were not addressed. We opine that injury and fatality rates are high because of poor dummy-to-human stiffness and substantially underestimated IARV injury criteria compared to consensus momentum exchange injury measures.

IIHS 40% offset and small overlap frontal and side impact tests were studied to observe the trajectory of the Hybrid III dummy head with production neck and evaluate injury measures. Then, the effect of substituting the production neck with the more flexible rollover neck was investigated. Estimates were made of the dummy head excursion, proximity of the head to vehicle structures at maximum excursion, the likelihood and severity of vehicle structure contact, and injury measures. Results indicate that, while the flexible neck in a rollover increases head excursion by 3 inches when contacted at 7 mph, the frontal and side impact tests described here result in head contact with vehicle structures and exceed the rollover-developed AIS ≥3 momentum exchange injury criteria of the integrated bending moment (IBM) and single and double integration product of head resultant acceleration (HRA).

INTRODUCTION

This research has been addressing the rollover fatality problem for the last 12 years. The Department of Transportation (DOT) and the automobile industry have been addressing the total fatality problem since DOT’s inception with the Traffic Safety Act of 1966. Some insight into the misrepresentations that delayed progress in reducing rollover fatalities and injuries has been documented. [4-13]. Although the size of the passenger vehicle fleet has increased substantially, the second author’s research as a major contractor to the DOT from 1968 to 1985 had forecast much more substantial progress in reducing fatalities. One reason may be that the accident analysis of the NHTSA/Minicars Research Safety Vehicle (RSV) required passive protection airbag performance in a 30° angled impact mode. Many of today’s supplemental restraint system airbags are too small to affect the trajectory or protect the head in such a test.

This introduction describes the highlights of the previously-reported [14] methodology used in addressing the rollover fatality and permanently-debilitating head-neck injury problem. The methodology section describes how the flexible rollover neck [15] research can be used to address the even larger problem of fatal and catastrophic head and neck injuries in frontal and side impacts.
Identifying the Problem

Figure 1 illustrates the magnitude of the U.S. rollover tragedy. From the inception of the Fatal Accident Reporting System (FARS) in 1978 until 2008 [16], more than 1,350,000 occupants were killed in all of the vehicle crash modes, of which almost 318,000 lives were lost in rollover crashes alone.

![Figure 1](Image1.png)

**Figure 1.** FARS fatalities from 1978 to 2008.

Development of Rollover Injury Risk Based on Vehicle Structural Performance

In 2008, the IIHS published data on 22,000 SUV’s involved in rollover crashes with incapacitating injuries [17]. Results indicated that the injury rate was reduced by 25% for each increment of vehicle strength-to-weight ratio (SWR) from SWR 2 to 3. The IIHS also derived a relationship between window breakage in rollovers, described in terms of ejection rate, and SWR. The IIHS reported that ejection rate decreased with increasing vehicle SWR.

At approximately the same time, a compilation of JRS and other rollover tests confirmed their results. CIFIR defined the following momentum exchange dummy measures:
- a momentum exchange function, called the Integrated Bending Moment (IBM), and
- single and double integration product of head resultant acceleration (HRA).

Figure 2 is a composite plot of structural injury risk and momentum exchange injury measures showing rate reduction with increasing SWR. Results show that these parameters correlated with residual crush at an IBM value of 13.5 and a HRA exceeding a criteria of 48.

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<th>Accident Year</th>
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<th>Total Fatalities</th>
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![Figure 2](Image2.png)

**Figure 2.** Composite plot of injury measures showing rate reduction with increasing vehicle SWR.

In 2008, NHTSA confirmed a NASS statistical analysis indicating that, in rollover crashes, vehicles with post-crash negative headroom (more roof crush than original headroom) were 5 times more likely to be injurious (at any level of injury) than vehicles with post-crash positive headroom [18]. Figure 3 is a plot of positive and negative post-crash headroom as a function of vehicle SWR in JRS rollover tests.

![Figure 3](Image3.png)

**Figure 3.** Post-crash positive and negative headroom in order of ascending vehicle SWR.

In 2009, a statistical analysis of NASS and CIREN files [19] evaluated the probability and odds ratio of rollover fatalities and head, spine and spinal cord injury as a function of vehicle residual crush. For residual crush in bands of 0 to 3½, 3½ to 6, 6 to 12 and 12 inches and above, the corresponding ratings in order are “good,” “acceptable” and “poor.” The “acceptable” probability is roughly 30% greater than “good” and the probability of “poor” is 2.5 times greater than “acceptable.”
Figure 4, the fatality probability chart, shows increasing probability of fatality with increasing vehicle residual crush.

![Fatality Probability Chart](image)

**Figure 4.** A fatal probability function vs. residual crush.

The following structural injury risk measures were identified in previously-published analyses of more than 50 JRS dynamic rollover tests: SWR, major radius (MR) at the A-pillar, structural roof elasticity, impact angle, pitch and/or yaw [20]. These dynamic tests also identified vehicles with grossly underestimated injury potential based on static roof strength tests alone. Figure 5 shows residual crush, normalized to a single test protocol, plotted on the fatality risk chart to 12 inches of residual crush of Figure 4.

![Residual Crush](image)

**Figure 5.** Residual crush normalized to 21 mph and 270° roll rate.

### Development of a Prototype Flexible Rollover Dummy Neck, Measures and Criteria

An investigation of the stiffness and orientation of the production Hybrid III neck revealed that it was not representative of the current population of vehicle occupants. [21] The dummy neck stiffness and orientation were modeled from volunteer tests of young military personnel in the early 1960’s. In these tests, volunteers were instructed to resist rotation of the head during substantial inertial forward acceleration.

We determined that the dummy neck in a rollover test should be more flexible (i.e., the stiffness of the production Hybrid III dummy neck is 10 times the normal untensed neck) and should be inclined 30° in flexion to compensate for its lack of lordosis (i.e., the production Hybrid III dummy neck is axially-aligned).

A matched-pair of 1998 Ford Explorer tests were performed. In one of the tests, the production Hybrid III dummy neck was preflexed 30° forward. Results demonstrated reductions in dummy injury measures with the preflexed neck.

Humanetics ATD fabricated a prototype “flexible” rollover neck. Its stiffness was equal to about 30% of the production neck. The lower neck mounting brackets flexed the neck 30° forward.

C/JIR conducted experiments comparing the head excursion of the production and prototype flexible rollover neck under identical test conditions. The results at 10 and 15 mph, shown in Figure 6, demonstrate increased head excursion with increasing impact speed. At 15 mph, the flexible neck moved forward about 4 inches farther than the production neck.

![Production vs. Low Durometer Neck](image)

**Figure 6.** Comparison of the production and prototype flexible rollover neck at maximum head excursion.
The IARV injury criteria were recalibrated relative to the production neck. In tests with either neck, there was no correlation between injury risk, described by residual crush, and injury measures, described by IARV. The only consensus injury measures were roof crush and roof crush speed based on criteria developed by McElhaney [22]. Figure 7 is a map of those injury measures.

Figure 7. Consensus injury criteria map of dynamic crush injury risk criteria.

The following structural injury risk and dummy injury measure criteria were evaluated:
• the structural injury risk performance measures of the elastically-corrected residual crush and the product of roof crush and crush speed,
• the adjusted IARV lower neck Fz and My, and
• the dummy momentum exchange injury measures of dummy IBM and HRA.
Each was normalized to its AIS ≥ 3 reference value. For each JRS rollover test, the percentage of structural injury risk and dummy measure criteria were determined and compared. Results for the 2009 Ford F-150 are illustrated in Figure 8.

Figure 8. Injury risk, IARV and momentum exchange test results of the 2009 Ford F-150.

METHODS
In 2000, regulatory frontal occupant crash protection testing was modified for vehicles equipped with advanced airbags. In 2010, IIHS compared the performance of vehicles equipped with advanced airbags to vehicles with 1st-generation airbags. Surprisingly, IIHS found a 15% increase in fatalities with advanced airbags [23].

The accident analysis of the NHTSA/Minicars RSV required passive protection airbag performance in a 30° angled impact mode [24]. This requirement was based on the yearly societal costs of injuries and fatalities as a function of vehicle damage areas and deltaV from the MDAI and ACIR files shown in Figure 9.

Figure 9. Societal losses as a function of deltaV and vehicle damage areas.

In motor vehicle crashes, the Principal Direction of Force (PDOF) dictates an occupant’s kinematic trajectory. Supplemental restraint system airbags are not designed to cushion the head at PDOF angles greater than 9°. Most of today’s supplemental restraint system airbags are too small to affect the trajectory or protect the head in such a test. Rather, the head is deflected laterally by the deploying airbag.

In this paper, the following test modes were studied.
• Frontal 30° angled barrier test PDOF~9° [25].
• IIHS 40 mph 40% offset deformable barrier test PDOF~15°.
• IIHS 40 mph 25% small overlap deformable barrier test PDOF~20°.
• Angled impact test (of Figure 7) PDOF~30° [26].
The trajectory of the Hybrid III dummy head with production neck was observed and injury measures were evaluated. Then, the effect of substituting the production neck with the more flexible rollover neck was investigated. Estimates were made of the dummy head excursion, proximity of the head to vehicle structures at maximum excursion, the likelihood and severity of vehicle structure contact, and injury measures.

RESULTS

Figures 10 and 11 shows the dummy head near the A-pillar in the IIHS small (25%) overlap frontal tests at 40 mph.

Figure 10. IIHS 2012 Kia Soul small (25%) overlap test at 40 mph at maximum head excursion.

Figure 11. IIHS 2012 Acura TSX small (25%) overlap test at 40 mph at maximum head excursion.

Figures 12 and 13 shows videotape frames from IIHS 40% offset frontal tests of a 1996 (top) and 1999 (bottom) Hyundai Sonata into a deformable barrier at 40 mph.

Figure 12. IIHS 1996 Hyundai Sonata (40%) offset frontal test at 40 mph at maximum head excursion.

Figure 13. IIHS 1999 Hyundai Sonata (40%) offset frontal test at 40 mph at maximum head excursion.

The frames in Figures 13 and 14 show that the head was deflected by the airbag. The proximity of the head at maximum excursion was about 4 inches and 1 inch from internal 1996 and 1999 vehicle component structures, respectively.

In the IIHS small (25%) overlap tests at 40 mph, the dummy head is not as close to the A-pillar as in the frontal offset tests despite the PDOF and the deflection off the airbag because of the substantially-reduced deltaV. If a test had been run with the 30° angled impact requirement of the RSV, the head would have contacted the A-pillar because the airbag effects are less.
Figure 14 is a frame from an IIHS side impact of a 2006 Crown Victoria without airbags at 30 mph.

**DISCUSSION**

Frontal airbags were mandated and implemented in passenger cars in 1995. However, NHTSA estimates less than a 20% savings of lives by airbags in frontal impacts compared to the number of lives lost. With required supplemental restraint systems and a greater than 80% belt usage, we expect a greater reduction than 20%. The fact that vehicle safety design is based on testing with the production Hybrid III neck (and its limitations) and IARV injury criteria that underestimate human injury by a factor of two explain, in part, this disparity. The next step in this research is to conduct sled tests at various PDOF angles with both the Hybrid III production and the prototype flexible rollover neck to measure and validate our estimates of head excursion, IARV, structural injury risk, and momentum exchange injury measures.

**LIMITATIONS**

These studies are based on estimates of head excursion from videotapes recorded by the IIHS. The estimates of head excursion with the substitution of the prototype flexible rollover neck are judgments indicative of the authors’ broad experience with frontal and side impact research and regulatory test performance. The difference in IARV and momentum exchange injury measures were experimentally-validated and published previously.

**CONCLUSIONS**

- The Hybrid III dummy production neck is not representative of the injury population.
- IARV does not represent human injury potential and underestimates it by 50% or more.
- Head-neck inclination and neck stiffness has a significant effect on injury and fatality potential in all accident modes.
- Vehicle occupant protection systems designed and rated using the production Hybrid III dummy may be the principal cause of high death and injury rates.

**ACKNOWLEDGEMENTS**

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**REFERENCES**

[15] Ibid.